

SRD II - Facilitation of the exercise of shareholder rights

Unifinanz Trust reg. (subsequently „enterprise“) is classified as «Asset Manager» according to Art. 367a lit. 3 of the Liechtenstein Personen- und Gesellschaftsrechts (PGR) and is therefore obliged to communicate its cooperation policy according to Art. 367h PGR.

- The enterprise does not exercise its shareholder rights in the sense of Art. 367h section 1 lit. 1 and 4 PGR which are based in the participation in corporations in which the enterprise is invested in context of its mandates as Asset Manager. In particular, none of the rights relating to the participation in general meetings of companies limited by shares are exercised. The claim on profit share as well as drawing rights are exercised after consultation with the clients.
- The supervision of major matters of the companies in the sense of Art. 367h section 1 lit. 2 PGR is covered by acknowledging the reporting duties of the companies as required by law in their financial statements as well as their ad hoc announcements.
- No exchange of views takes place with the officers of the companies and interested parties in the companies in the sense of Art. 367h section 1 lit 3 PGR.
- No cooperation with other shareholders or other relevant stakeholders of the corporation in the sense of Art. 367h section 1 lit. 5 and 6 PGR takes place.
- Where conflicts of interest emerge in the sense of Art.367h section 1 lit. 7 PGR, these are communicated to the affected persons in accordance with legal provisions and the clarification of further procedures with them.
- An annual publication of the implementation of the Cooperation Policy, in the sense of Art. 367h section 2 PGR, is not published as the respective rights are not asserted.
- A publication of the votes cast in the sense of Art. 367h section 1 lit. 3 PGR does not take place as no participation in voting procedure occurs.